

FORM PTO/SB/08A (REV. 10-96)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		<i>Complete if Known</i>	
Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets necessary)				Application Number	09/804,667
				Filing Date	March 12, 2001
				First Named Inventor	Jeffrey K. Dellinger, et al.
				Group Art Unit	Unknown
				Examiner Name	Unknown
				Attorney Docket Number	37168/82320
Sheet	1	of	3		

U.S. PATENT DOCUMENTS

* Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
gms	A.A	4,055,757	—	Tillman, et al.	10-25-1977	
gms	A.B	5,291,398	—	Hagan	3-1-1994	
gms	A.C	5,631,828	—	Hagan	5-20-1997	
gms	A.D	5,752,236	—	Sexton, et al.	5-12-1998	
gms	A.E	5,754,980	—	Anderson, et al.	5-19-1998	
gms	A.F	5,761,441	—	Bennett	6-2-1998	
gms	A.G	5,893,071	—	Cooperstein	4-6-1999	
gms	A.H	5,926,792	—	Koppes, et al.	7-20-1999	
gms	A.I	5,930,760	—	Anderton, et al.	7-27-1999	
gms	A.J	5,933,815	—	Golden	8-3-1999	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	A.K							
	A.L							
	A.M							
	A.N							
	A.O							

EXAMINER	<i>Josephine W. Wheeler</i>	NOT CONSIDERED	03/14/06
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 If possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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of

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Application Number

09/804,667

Filing Date

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First Named Inventor

Jeffrey K. Dellinger, et al.

Group Art Unit

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		Number	Kind Code ² (if known)			
92b	B.A	5,940,810		Traub, et al.	8-17-1999	
92b	B.B	6,058,377		Traub, et al.	5-2-2000	
92b	B.C	6,061,661		Hagan	5-9-2000	
92b	B.D	6,064,969		Haskins	5-16-2000	
92b	B.E	6,064,983		Kochler	5-16-2000	
92b	B.F	6,064,986		Edelman	5-16-2000	
92b	B.G	6,085,174		Edelman	7-4-2000	
92b	B.H	6,105,865		Hardesty	8-22-2000	
	B.I					
	B.J					

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	B.K							
	B.L							
	B.M							
	B.N							
	B.O							

EXAMINER

Jacelyn W. Thein

DATE CONSIDERED

03/14/06

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INFORMATION DISCLOSURE
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Application Number	09/804,667
Filing Date	March 12, 2001
First Named Inventor	Jeffrey K. Dellinger, et al.
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 3 of 3

Attorney Docket Number 37168/82320

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JN/S	D.A	AnnuiSHARE™ Consumer Brochure, Version 1.20, 4/6/99; Cover: <u>AnnuiSHARE™ Lifetime Income Annuity; SHAREways to an enhanced and secure future in retirement</u> ; (see inside cover, pages 3-6, inside back cover and back cover).	
JN/S	D.B	<i>The Mechanics of Variable Annuitization</i> ; by Jeffrey K. Dellinger, FSA, MAAA; 9/94; Published in VARDS "Executive Series"	
JN/S	D.C	Internal Revenue Service Private Letter Ruling 9237030, June 16, 1992 [Code Sec. 72]	
	D.D		
	D.E		
	D.F		
	D.G		
	D.H		
	D.I		
	D.J		

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PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE
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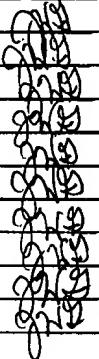
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Sheet 1 of 3

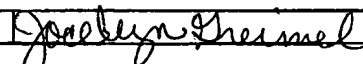
Complete if Known

Application Number	09/804667
Filing Date	March 12, 2001
First Named Inventor	Schwartz, Denis G.
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	37168/82320

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A.1	6,275,807	08-2001	Schirripa, Felix	
	A.2	6,253,192 B1	06-2001	Corlett et al.	
	A.3	6,148,293	11-2000	King, Douglas L.	
	A.4	6,076,072	06-2000	Libman, Richard Marc	
	A.5	5,933,815	08-1999	Golden, Jerome S.	
	A.6	5,864,828	01-1999	Atkins	
	A.7	5,839,118	1-1998	Ryan et al.	
	A.8	5,704,045	12-1997	King et al.	
	A.9	5,644,727	07-1997	Atkins, Charles Agee	
	A.10	4,742,457	05-1988	Leon et al.	
	A.11				
	A.12				
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	A.22				

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PAGE 3/25 * RCVD AT 10/13/2005 2:41:34 PM [Eastern Daylight Time] * SVR:USPTO-EFXXF-6/0 * DNIS:8729308 * CSID: * DURATION (mm-ss):08-18

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Complete if Known	
	Application Number	09/804667
	Filing Date	March 12, 2001
	First Named Inventor	Schwartz, Denis G.
	Group Art Unit	Unknown
	Examiner Name	Unknown
Sheet 2 of 3	Attorney Docket Number	37168/82320

B FOREIGN PATENT DOCUMENTS						
Examiner Initials ^a	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ^d
		Country Code ² -Number ³ -Kind Code ⁴ (if known)				
	B.1					
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Complete if Known	
	Application Number	09/804667
	Filing Date	March 12, 2001
	First Named Inventor	Schwartz, Denis G.
	Group Art Unit	Unknown
	Examiner Name	Unknown
Sheet 3 of 3	Attorney Docket Number	37168/82320

c OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JMG	C.1	Retirement Contribution Analyzer User Guide; Ernst & Young, LLP; October 24, 1997; 19 pages	
	C.2		
	C.3		
	C.4		
	C.5		
	C.6		
	C.7		
	C.8		
	C.9		
	C.10		

EXAMINER	Jocelyn Kreimel	DATE CONSIDERED	03/14/06
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